

2611
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04/10/03

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
3698D6

In Re Application Of: **John Hendricks**

Serial No.

09/966,759

Filing Date

October 1, 2001

Examiner

N/A

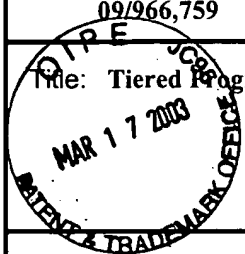
Group Art Unit

2611

Title: **Tiered Programming Television Delivery System**

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Address to:

Assistant Commissioner for Patents
Washington, D.C. 20231

Technology Center 2600

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).

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Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 04-1425 as described below. A duplicate copy of this sheet is enclosed.
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Dated: March 17, 2003

Kelly Lee

Reg. No. 47,743

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INFORMATION DISCLOSURE CITATION
(Use several sheets if necessary)

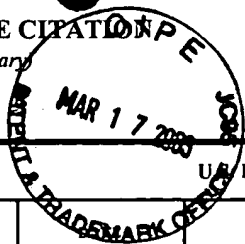
Docket Number (Optional)
3698.D6

Application Number
09/966,759

Applicant(s)
John S. Hendricks

Filing Date
October 1, 2001

Group Art Unit
2611



U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	1.	5,635,989	6/3/1997	Rothmuller	725	46	
	2.	5,629,733	5/13/1997	Youman, et al.	725	53	
	3.	4,641,205	2/3/1987	Beyers, Jr.	386	83	
	4.	5,793,872	8/11/1998	Hirayama et al.	386	95	
	5.	5,550,863	8/27/1996	Yurt, et al.	375	240	
	6.	5,270,809	12/14/1993	Gammie, et al.	725	114	
	7.	5,083,205	1/21/1992	Arai	348	555	
	8.	4,896,354	1/23/1990	Inagaki, et al.	380	207	

FOREIGN PATENT DOCUMENTS

REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO

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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.